

Lecture 14: Bases and Dimension

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Lecture 13: Bases¹ and Dimension

- 1 Key definitions
- 2 The replacement theorem and some consequences
- 3 The number of elements in a basis

¹“Bases” is the plural of “basis”

Some definitions to recall

Let V be a vector space (e.g. $V = \mathbb{R}^n$). Let S be a (finite) subset of V .

- 1 S is a **spanning set** of V (or S **spans** V) if every element of V is a linear combination of the elements of S .
- 2 The **span** of S , denoted $\langle S \rangle$, is the set of all linear combinations of element of S , a **subspace** of V .
- 3 S is **linearly independent** if no element of S is a linear combination of the other elements of S .
Equivalently, if no proper subset of S spans $\langle S \rangle$.
- 4 S is a **basis** of V if S is linearly independent **AND** S spans V .
A basis is a **minimal spanning set**.
A basis is a **maximal linearly independent set**.
- 5 Every finite spanning set of V contains a basis of V .
- 6 Every linearly independent subset of V can be extended to a basis of V (we have not proved this yet!).

Consequences of the replacement theorem

Theorem Let V be a vector space that has a basis with n elements. Then every linearly independent set with n elements is a basis of V .

- 1 If V has a spanning set with n elements, a linearly independent set in V cannot have more than n elements (by the same substitution argument).
- 2 If V has a linearly independent set with n elements, then a spanning set in V must have at least n elements (this is the same statement from the alternative viewpoint).

The number of elements in a linearly independent set cannot exceed the number in a spanning set. Every spanning set has at least as many elements as the biggest independent set.

Every basis has the same number of elements

Let V be a (finite dimensional) vector space, and let B and B' be bases of V . Then

- B is linearly independent and B' is a spanning set, so B has **at most** as many elements as B' .
- B is a spanning set and B' is linearly independent, so B has **at least** as many elements as B' .

It follows that B and B' have the same number of elements.

The **dimension** of V is the number of elements in a basis of V .

Exercise If $\dim V = n$, then **every** linearly independent subset of V has at most n elements, and **every** spanning set in V has at least n elements. Every spanning set with exactly n elements is a basis, and every linearly independent set with exactly n elements is a basis.

Note Every vector space that has a finite spanning set has a finite basis (since we can discard elements from a finite spanning set until a basis remains).

Examples

- 1 $\{1, x, x^2, x^3\}$ is a basis for the vector space P_3 of all polynomials of degree at most 3 with real coefficients. It is linearly independent because the only way to write the zero polynomial in the form $a_3x^3 + a_2x^2 + a_1x + a_0$ is by taking $a_0 = a_1 = a_2 = a_3 = 0$. Another basis of P_3 , preferable for some applications, consists of the first four **Legendre polynomials**: $1, x, \frac{1}{2}(3x^2 - 1), \frac{1}{2}(5x^3 - 3x)$.
- 2 The **row space** of a $m \times n$ matrix is the subspace of \mathbb{R}^n spanned by its rows. When we reduce the matrix to RREF, we are calculating a particular basis of its row space.
- 3 In \mathbb{R}^2 , the reflection in the line $y = 2x$ sends $(1, 0)$ to $(-\frac{3}{5}, \frac{4}{5})$ and $(0, 1)$ to $(\frac{4}{5}, \frac{3}{5})$. Its (standard) matrix is $\begin{bmatrix} -\frac{3}{5} & \frac{4}{5} \\ \frac{4}{5} & \frac{3}{5} \end{bmatrix}$.
The same reflection sends $(1, 2)$ to $(1, 2)$ and $(2, -1)$ to $(-2, 1)$. It is easier to describe it in terms of the basis $\left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \begin{bmatrix} 2 \\ -1 \end{bmatrix} \right\}$.