Recall from Section 2.2 that

- The cardinality of a finite set is defined as the number of elements in it.
- Two sets A and B have the same cardinality if (and only if) it is possible to match each element of A to an element of B in such a way that every element of each set has exactly one "partner" in the other set.

Recall from Section 2.2 that

- The cardinality of a finite set is defined as the number of elements in it.
- Two sets A and B have the same cardinality if (and only if) it is possible to match each element of A to an element of B in such a way that every element of each set has exactly one "partner" in the other set.

In the case of finite sets, the second point above might seem to be overcomplicating the issue, since we can tell if two finite sets have the same cardinality by just counting their elements and noting that they have the same number. Two sets have the same cardinality if (and only if) it is possible to match each element of A to an element of B in such a way that every element of each set has exactly one "partner" in the other set.

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The notion of bijective correspondence is emphasized for two reasons.

- It is occasionally possible to establish that two finite sets are in bijective correspondence without knowing the cardinality of either of them.
- We can't count the number of elements in an infinite set. However, for a given pair of infinite sets, we could possibly show that it is or isn't possible to construct a bijective correspondence between them.

Definition

Suppose that A and B are sets (finite or infinite). We say that A and B have the same cardinality (written |A| = |B|) if a bijective correspondence exists between A and B.

In other words, A and B have the same cardinality if it's possible to match each element of A to a different element of B in such a way that every element of both sets is matched exactly once.

Definition

Suppose that A and B are sets (finite or infinite). We say that A and B have the same cardinality (written |A| = |B|) if a bijective correspondence exists between A and B.

In other words, A and B have the same cardinality if it's possible to match each element of A to a different element of B in such a way that every element of both sets is matched exactly once. In order to say that A and B have different cardinalities we need to establish that it's impossible to match up their elements with a bijective correspondence. If A and B are infinite sets, showing that such a thing is *impossible* can be a formidable challenge.

Definition

The set \mathbb{N} of natural numbers ("counting numbers") consists of all the positive integers. $\mathbb{N} = \{1, 2, 3, ...\}$.

Example

Show that \mathbb{N} and \mathbb{Z} have the same cardinality.

We need to fill the right-hand column of the table below with the integers *in some order*, in such a way that each integer appears there exactly once.



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So we need to list all the integers on the right hand side, in such a way that every integer appears once. Just following the natural order on the integers won't work, because then there is no first entry for our list.

\mathbb{N}		\mathbb{Z}
1	\longleftrightarrow	?
2	\longleftrightarrow	?
3	\longleftrightarrow	?
4	\longleftrightarrow	?
÷	\longleftrightarrow	÷

Starting at a particular integer like 0 and then following the natural order won't work, because then we will never get (for example) any negative integers in our list.

\mathbb{N}		\mathbb{Z}
1	\longleftrightarrow	?
2	\longleftrightarrow	?
3	\longleftrightarrow	?
4	\longleftrightarrow	?
•	\longleftrightarrow	:

Something that *will* work is suggested by following the arcs, starting from 0, in the picture below.



$$\mathbb{Z} = \{0, 1, -1, 2, -2, 3, -3, 4, -4, \dots\}$$

Bijective correspondence $\mathbb{N} \longleftrightarrow \mathbb{Z}$

We can start with 0, then list 1 and then -1, then 2 and then -2, then 3 and then -3 and so on. This is a systematic way of writing out all the integers, in which each appears exactly once. Our table becomes

\mathbb{N}		\mathbb{Z}
1	\longleftrightarrow	0
2	\longleftrightarrow	1
3	\longleftrightarrow	-1
4	\longleftrightarrow	2
5	\longleftrightarrow	-2
6	\longleftrightarrow	3
:	\longleftrightarrow	:

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1	\longleftrightarrow	0
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4	\longleftrightarrow	2
5	\longleftrightarrow	-2
6	\longleftrightarrow	3
÷	\longleftrightarrow	:

Exercise 41

What integer corresponds to the natural number 22 in the list? In what position does the integer -63 appear?

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MA180/MA186/MA190 Calculus

Infinite sets and cardinality

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If we want to be fully explicit about how this bijective correspondence works, we can even give a formula for the integer that is matched to each natural number. The correspondence above describes a bijective function $f: \mathbb{N} \longrightarrow \mathbb{Z}$ given for $n \in \mathbb{N}$ by

$$f(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even} \\ -\left(\frac{n-1}{2}\right) & \text{if } n \text{ is odd} \end{cases}$$

As well as understanding this example at the informal/intuitive level suggested by the picture above, think about the formula above, and satisfy yourself that it does indeed describe a bijection between \mathbb{N} and \mathbb{Z} .

The example above demonstrates a curious thing that can happen when considering cardinalities of infinite sets. The set \mathbb{N} of natural numbers is a **proper subset** of the the set \mathbb{Z} of integers (this means that every natural number is an integer, but the natural numbers do not account for all the integers).

Yet we have just shown that \mathbb{N} and \mathbb{Z} can be put in bijective correspondence. So it is possible for an infinite set to be in bijective correspondence with a proper subset of itself, and hence to have the same cardinality as a proper subset of itself.

This can't happen for finite sets (why?).

Putting an infinite set in bijective correspondence with \mathbb{N} amounts to providing a robust and unambiguous scheme or instruction for listing all its elements starting with a first, then a second, third, etc., in such a way that it can be seen that every element of the set will appear exactly once in the list.

Definition

A set is called countably infinite (or denumerable) if it can be put in bijective correspondence with the set of natural numbers. A set is called countable if it is either finite or countably infinite.

Basically, an infinite set is countable if its elements can be listed in an inclusive and organised way. "Listable" might be a better word, but it is not really used.

Thus the sets \mathbb{N} and \mathbb{Z} have the same cardinality. Maybe this is not so surprising, because these sets have a strong geometric resemblance as sets of points on the number line.

Thus the sets \mathbb{N} and \mathbb{Z} have the same cardinality. Maybe this is not so surprising, because these sets have a strong geometric resemblance as sets of points on the number line.

What is more surprising is that \mathbb{N} (and hence \mathbb{Z}) has the same cardinality as the set \mathbb{Q} of all rational numbers. These sets do not resemble each other much geometrically. The natural numbers are sparse and evenly spaced, whereas the rational numbers are densely packed into the number line.

Nevertheless, as the following construction shows, \mathbb{Q} is a countable set.

We need to show that the rational numbers can be organized into a numbered list in a systematic way that includes all of them. Such a list is a one-to-correspondence with the set \mathbb{N} of natural numbers.

Start with the following array of fractions.

We need to show that the rational numbers can be organized into a numbered list in a systematic way that includes all of them. Such a list is a one-to-correspondence with the set \mathbb{N} of natural numbers. Construct a path through the whole array :

In these fractions, the numerators increase through all the integers as we travel along the rows, and the denominators increase through all the natural numbers as we travel downwards through the columns. Every rational number occurs somewhere in the array.

This path determines a listing of all the fractions in the array, that starts as follows

0/1, 1/1, 1/2, 0/2, -1/2, -1/1, -2/1, -2/2, -2/3, -1/3, 0/3, 1/3, 2/3

0/1, 1/1, 1/2, 0/2, -1/2, -1/1, -2/1, -2/2, -2/3, -1/3, 0/3, 1/3,

2/3, 2/2, 2/1, 3/1, 3/2, 3/3, 3/4, ...

What this construction demonstrates is a bijective correspondence between the set \mathbb{N} of natural numbers and the set of all fractions in our array.

This is not (exactly) a bijective correspondence between \mathbb{N} and \mathbb{Q} .

Exercise 42

Why not? (Think about this before reading on.)

0/1, 1/1, 1/2, 0/2, -1/2, -1/1, -2/1, -2/2, -2/3, -1/3, 0/3, 1/3,

2/3, 2/2, 2/1, 3/1, 3/2, 3/3, 3/4, ...

What this construction demonstrates is a bijective correspondence between the set \mathbb{N} of natural numbers and the set of all fractions in our array.

This is not (exactly) a bijective correspondence between \mathbb{N} and \mathbb{Q} .

Exercise 42

Why not? (Think about this before reading on.)

The reason why not is that every rational number appears many times in our array.

In order to get a bijective correspondence between \mathbb{N} and \mathbb{Q} , construct a list of all the rational numbers from the array as above, but whenever a rational number is encountered that has already appeared, leave it out. Our list will begin

$$0/1, 1/1, 1/2, -1/2, -1/1, -2/1, -2/3, -1/3, 1/3, 2/3, 2/1,$$

 $3/1, 3/2, 3/4, ...$

We conclude that the rational numbers are countable.

In order to get a bijective correspondence between \mathbb{N} and \mathbb{Q} , construct a list of all the rational numbers from the array as above, but whenever a rational number is encountered that has already appeared, leave it out. Our list will begin

$$0/1, 1/1, 1/2, -1/2, -1/1, -2/1, -2/3, -1/3, 1/3, 2/3, 2/1,$$

 $3/1, 3/2, 3/4, ...$

We conclude that the rational numbers are countable.

Note : Unlike our one-to-one correspondence between \mathbb{N} and \mathbb{Z} , in this case we cannot write down a simple formula to tell us what rational number will be Item 34 on our list (i.e. corresponds to the natural number 34) or where in our list the rational number 292/53 will appear.

Basically, a subset X of \mathbb{R} is bounded if, on the number line, its elements do not extend indefinitely to the left or right. In other words there exist real numbers a and b with a < b, for which all the points of X are in the interval (a, b).

Definition

Let X be a subset of \mathbb{R} . Then X is bounded below if there exists a real number a with a < x for all elements x of X. (Note that a need not belong to X here).

The set X is bounded above if there exists a real number b with x < b for elements x of X. (Note that b need not belong to X here). The set X is bounded if it is bounded above and bounded below (otherwise it's unbounded).

Example

- **1** \mathbb{Q} is unbounded.
- **2** \mathbb{N} is bounded below but not above.
- **3** (0, 1), [0, 1], [2, 100] are bounded.
- 4 $\{\cos x : x \in \mathbb{R}\}$ is bounded, since $\cos x$ can only have values between -1 and 1.
- **5** All finite subsets of \mathbb{R} are bounded, and some infinite subsets are.

Question: Is it possible for a bounded set to have the same cardinality as an unbounded set?

In our next example we show that the set of all the real numbers has the same cardinality as an open interval on the real line.

First we note that all open intervals have the same cardinality as each other.

Exercise 43

Show that the the open interval (0, 1) has the same cardinality as

- **1** The open interval (-1, 1)
- **2** The open interval (1, 2)
- **3** The open interval (2, 6).

Example

Show that \mathbb{R} has the same cardinality as the open interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$

In order to do this we have to establish a bijective correspondence between the interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ and the full set of real numbers. An example of a function that provides us with such a bijective correspondence is familiar from calculus/trigonometry.

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$\left(-rac{\pi}{2},rac{\pi}{2} ight)$ and \mathbb{R}^{2}

Recall that for a number x in the interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$, tan x is defined as follows: travel from (1, 0) a distance |x| along the circumference of the unit circle, anti-clockwise if x is positive and clockwise if x is negative. We arrive at a point which is in the right-hand side of the unit circle.

Now $\tan x$ is the slope of the line that connects the origin to this point (whose y and x-coordinates are $\sin x$ and $\cos x$ respectively).



Now tan 0 = 0, and as x increases from 0 towards $\frac{\pi}{2}$, the line segment in question rotates about the origin into the first quadrant, its slope increases continuously from zero, without limit as x approaches $\frac{\pi}{2}$. So every positive real number is the tan of exactly one x in the range $(0, \frac{\pi}{2})$.

For the same reason, the values of tan x include every negative real number exactly once as x runs between 0 and $-\frac{\pi}{2}$.

Thus for $x \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ the correspondence

 $x \longleftrightarrow \tan x$

establishes a bijection between the open interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ and the full set of real numbers.

We conclude that the interval $\left(-\frac{\pi}{2},\frac{\pi}{2}\right)$ has the same cardinality as \mathbb{R} .

Note: This assertion is unrelated to the concept of countability discussed earlier.

- 1 We don't know yet if \mathbb{R} (or $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$) has the same cardinality as \mathbb{N} we don't know if \mathbb{R} is countable.
- **2** The interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ may seem like an odd choice for an example like this. However, note that the interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ is in bijective correspondence with the interval $\left(-1, 1\right)$, via the function that just multiplies everything by $\frac{2}{\pi}$.

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This section contains some very challenging concepts. You will probably need to invest some serious intellectual effort in order to arrive at a good understanding of them. This is an effort worth making as it has the potential to really expand your view of what mathematics is about. After studying this section you should be able to

- Discuss the concept of bijective correspondence for infinite sets;
- Show that N and Z have the same cardinality by exhibiting a bijective correspondence between them;
- Explain what is meant by a *countable* set and show that Q is countable;
- Exhibit a bijective correspondence between R and the interval (-\frac{\pi}{2}, \frac{\pi}{2}) and hence show that R has the same cardinality as the interval (a, b) for any real numbers a and b with a < b.</p>

Our goal in this section is to show that the set \mathbb{R} of real numbers is **uncountable** or **non-denumerable**; this means that its elements cannot be **listed**, or cannot be put in bijective correspondence with the natural numbers.

We saw at the end of Section 2.3 that \mathbb{R} has the same cardinality as the interval $\left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$, or the interval $\left(-1, 1\right)$, or the interval $\left(0, 1\right)$. We will show that the open interval $\left(0, 1\right)$ is uncountable.

This assertion and its proof date back to the 1890's and to Georg Cantor. The proof is often referred to as "Cantor's diagonal argument" and applies in more general contexts than we will see in these notes.



Georg Cantor : born in St Petersburg (1845), died in Halle (1918)

Theorem 44

The open interval (0, 1) is not a countable set.

We recall precisely what this set is.

- It consists of all real numbers that are greater than zero and less than 1, or equivalently of all the points on the number line that are to the right of 0 and to the left of 1.
- It consists of all numbers whose decimal representation have only 0 before the decimal point (except 0.000 ... which is equal to 0, and 0.99999 ... which is equal to 1).
- Note that the digits after the decimal point may terminate in an infinite string of zeros, or may have a repeating pattern to their digits, or may not have either of these properties. The interval (0, 1) includes all these possibilities.

A hypothetical bijective correspondence

Our goal is to show that the interval (0, 1) cannot be put in bijective correspondence with the set \mathbb{N} of natural numbers. Our strategy is to show that no attempt at constructing a bijective correspondence between these two sets can ever be complete; it can never involve *all* the real numbers in the interval (0, 1) no matter how it is devised. So imagine that we had a listing of the elements of the interval (0, 1). Such a correspondence would have to look something like the following.

ℕ (0, 1)

- $1 \quad \longleftrightarrow \quad 0.13567324 \dots$
- $2 \quad \longleftrightarrow \quad 0.1000000 \dots$
- $3 \quad \longleftrightarrow \quad 0.32323232 \dots$
- $4 \quad \longleftrightarrow \quad 0.56834662\ldots$
- $5 \longleftrightarrow 0.79993444...$

(0, 1)

 \mathbb{N}

	(0,1)
\longleftrightarrow	0. <mark>1</mark> 3567324
\longleftrightarrow	0.1 <mark>0</mark> 000000
\longleftrightarrow	0.32 <mark>3</mark> 23232
\longleftrightarrow	0.568 <mark>3</mark> 4662
\longleftrightarrow	0.7999 <mark>3</mark> 444
	÷
	$\begin{array}{c} \longleftrightarrow \\ \longleftrightarrow \\ \longleftrightarrow \\ \longleftrightarrow \\ \longleftrightarrow \\ \longleftrightarrow \\ \end{array}$

Look at the first digit after the decimal point in Item 1 in the list. If this is 1, write 2 as the first digit after the decimal point in x. Otherwise, write 1 as the first digit after the decimal point in x. So x differs in its first digit from Item 1 in the list.

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\mathbb{N}		(0,1)
1	\longleftrightarrow	0. <mark>1</mark> 3567324
2	\longleftrightarrow	0.1 <mark>0</mark> 000000
3	\longleftrightarrow	0.32 <mark>3</mark> 23232
4	\longleftrightarrow	0.568 <mark>3</mark> 4662
5	\longleftrightarrow	0.7999 <mark>3</mark> 444
÷		:

Look at the second digit after the decimal point in Item 2 in the list. If this is 1, write 2 as the second digit after the decimal point in x. Otherwise, write 1 as the second digit after the decimal point in x. So x differs in its second digit from Item 2 in the list.

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\mathbb{N}		(0, 1)
1	\longleftrightarrow	0. <mark>1</mark> 3567324
2	\longleftrightarrow	0.1 <mark>0</mark> 000000
3	\longleftrightarrow	0.32 <mark>3</mark> 23232
4	\longleftrightarrow	0.568 <mark>3</mark> 4662
5	\longleftrightarrow	0.7999 <mark>3</mark> 444
:		÷

Look at the third digit after the decimal point in Item 3 in the list. If this is 1, write 2 as the third digit after the decimal point in x. Otherwise, write 1 as the third digit after the decimal point in x. So x differs in its third digit from Item 3 in the list.

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Continue to construct x digit by digit in this manner. At the *n*th stage, look at the *n*th digit after the decimal point in Item *n* in the list. If this is 1, write 2 as the *n*th digit after the decimal point in x. Otherwise, write 1 as the *n*th digit after the decimal point in x. So x differs in its *n*th digit from Item *n* in the list.

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What this process constructs is an element x of the interval (0, 1) that does not appear in the proposed list. The number x is not Item 1 in the list, because it differs from Item 1 in its 1st digit, it is not Item 2 in the list because it differs from Item 2 in its 2nd digit, it is not Item n in the list because it differs from Item n in its nth digit.

Note:

■ In our example, the number *x* would start 0.21111....

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Note:

- In our example, the number *x* would start 0.21111....
- According to our construction, our x will always have all its digits equal to 1 or 2. So not only have we shown that the interval (0, 1) is uncountable, we have even shown that the set of all numbers in this interval whose digits are all either 1 or 2 is uncountable.

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Note:

- In our example, the number *x* would start 0.21111....
- According to our construction, our x will always have all its digits equal to 1 or 2. So not only have we shown that the interval (0, 1) is uncountable, we have even shown that the set of all numbers in this interval whose digits are all either 1 or 2 is uncountable.
- A challenging exercise : why would the same proof not succeed in showing that the set of rational numbers in the interval (0, 1) is uncountable?

Informally, Cantor's diagonal argument tells us that the "infinity" that is the cardinality of the real numbers is "bigger" than the "infinity" that is the cardinality of the natural numbers, or integers, or rational numbers. He was able to use the same argument to construct examples of infinite sets of different (and bigger and bigger) cardinalities. So he actually established the notion of infinities of different magnitudes. Informally, Cantor's diagonal argument tells us that the "infinity" that is the cardinality of the real numbers is "bigger" than the "infinity" that is the cardinality of the natural numbers, or integers, or rational numbers. He was able to use the same argument to construct examples of infinite sets of different (and bigger and bigger) cardinalities. So he actually established the notion of infinities of different magnitudes.

The work of Cantor was not an immediate hit within his own lifetime. It met some opposition from the finitist school which held that only mathematical objects that can be constructed in a finite number of steps from the natural numbers could be considered to exist. Foremost among the proponents of this viewpoint was Leopold Kronecker.

Kronecker



Leopold Kronecker (1823-1891)

God made the integers, all else is the work of man.



Leopold Kronecker (1823-1891)

God made the integers, all else is the work of man. What good your beautiful proof on π ? Why investigate such problems, given that irrational numbers do not even exist?

Hilbert

Cantor had influential admirers too, among them David Hilbert, who set the course of much of 20th Century mathematics in his address to the International Congress of Mathematicians in Paris in 1900.



No one shall expel us from the paradise that Cantor has created for us.

David Hilbert (1862-1943)

Hilbert

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David Hilbert (1862-1943)

No one shall expel us from the paradise that Cantor has created for us. What new methods and new facts in the wide and rich field of mathematical thought will the new centuries disclose?

The Continuum Hypothesis proposes that every subset of \mathbb{R} is either countable (i.e. has the same cardinality as \mathbb{N} or \mathbb{Z} or \mathbb{Q}) or has the same cardinality as \mathbb{R} .

This seems like a question to which the answer should be either a straightforward yes or no.

The Continuum Hypothesis proposes that every subset of \mathbb{R} is either countable (i.e. has the same cardinality as \mathbb{N} or \mathbb{Z} or \mathbb{Q}) or has the same cardinality as \mathbb{R} .

It took the work of Kurt Gödel in the 1930s and Paul Cohen in the 1960s to reach the conclusion that the answer to this question of Cantor is **undecidable**. This means essentially that the standard axioms of set theory do not provide enough structure to determine the answer to the question.

The Continuum Hypothesis proposes that every subset of \mathbb{R} is either countable (i.e. has the same cardinality as \mathbb{N} or \mathbb{Z} or \mathbb{Q}) or has the same cardinality as \mathbb{R} .

Both the Continuum Hypothesis and its negation are consistent with the working rules of mathematics. People who work in set theory can legitimately assume that either the Continuum Hypothesis is satisfied or not. Fortunately most of us can get on with our mathematical work without having to worry about this very often.

The Continuum Hypothesis proposes that every subset of \mathbb{R} is either countable (i.e. has the same cardinality as \mathbb{N} or \mathbb{Z} or \mathbb{Q}) or has the same cardinality as \mathbb{R} .

References for this stuff:

- Reuben Hersh, What is Mathematics, Really? Oxford University Press, 1997
- **2** Eugenia Cheng, *Beyond Infinity*, Profile Books, 2017

From the Summer 2015 exam:

Q2 (a) Give an example of

- (i) An infinite subset of \mathbb{R} in which every element is negative.
- (ii) A subset of \mathbb{R} that is bounded above but not below.
- (iii) A subset of \mathbb{R} that is infinite, countable and bounded.

After studying this section you should be able to

- Use Cantor's diagonal argument to prove that the interval (0, 1) is uncountable.
- Make a few remarks about the history of this discovery.