

# Lecture 11: Bases and dimension

**Definition** A *basis* of a vector space  $V$  is a spanning set of  $V$  that is linearly independent. [Plural: bases]

## Lemma 19

*If  $S$  is a finite spanning set of a vector space  $V$ , then  $S$  contains a basis of  $V$ .*

## Proof.

If  $S$  is not linearly independent, then some element  $v_1$  of  $S$  is in the span of the other elements of  $S$ , and  $S_1 := S \setminus \{v_1\}$  is again a spanning set of  $V$ . If  $S_1$  is not linearly independent, then we can discard an element of  $S_1$  that is in the linear span of the others, to form a smaller spanning set  $S_2$ . Since  $S$  is finite, this process cannot continue indefinitely, and it concludes with a linearly independent spanning set of  $V$ .  $\square$

# The number of elements in a basis

We will show that if  $V$  has a finite basis, then *every* basis has the same number of elements. This number is then referred to as the *dimension* of  $V$ . The key to this is to show that the number of elements in *any* spanning set of  $V$  is an upper bound for the number of elements in *any* linearly independent subset of  $V$ .

## Theorem 20

*[Steinitz exchange lemma] Let  $V$  be a vector space over a field  $\mathbb{F}$ , and suppose that  $S = \{v_1, \dots, v_n\}$  is a spanning set of  $V$ . Then the number of elements in a linearly independent subset of  $V$  cannot exceed  $n$ .*

**Proof** Let  $S = \{v_1, \dots, v_t\}$  be a *spanning set* of  $V$ . Let  $L = \{y_1, \dots, y_k\}$  be a linearly independent subset of  $V$ . We need to show  $k \leq t$ .

# Consequences of the exchange lemma

## Theorem 21

*If  $V$  is a finite dimensional vector space over a field  $\mathbb{F}$ , then every basis of  $V$  has the same number of elements.*

## Proof.

Let  $B_1$  and  $B_2$  be bases of  $V$ . Then  $B_1$  is linearly independent and  $B_2$  is a spanning set of  $V$ , so  $|B_1| \leq |B_2|$  by Theorem 20. Also,  $B_2$  is linearly independent and  $B_1$  is a spanning set of  $V$ , so  $|B_2| \leq |B_1|$  by Theorem 20. Hence  $|B_1| = |B_2|$ . □

**Definition** The number of elements in any (hence every) basis of a finite dimensional vector space  $V$  is called the *dimension* of  $V$ , denoted  $\dim V$ .

# An Example

Let  $V$  be the space of skew-symmetric matrices in  $M_3(\mathbb{R})$  (a matrix  $A$  is *skew-symmetric* if  $A^T = -A$ ). Then

$$V = \left\{ \begin{pmatrix} 0 & a & b \\ -a & 0 & c \\ -b & -c & 0 \end{pmatrix} : a, b, c \in \mathbb{R} \right\}.$$

The typical element of  $V$  noted above can be written as

$$\begin{aligned} \begin{pmatrix} 0 & a & b \\ -a & 0 & c \\ -b & -c & 0 \end{pmatrix} &= a \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + b \begin{pmatrix} 0 & a & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix} + c \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix} \\ &= a(E_{12} - E_{21}) + b(E_{13} - E_{31}) + c(E_{23} - E_{32}), \end{aligned}$$

where  $E_{ij}$  is the matrix with 1 in the  $(i, j)$ -position and zeros elsewhere.

We see that  $\{E_{12} - E_{21}, E_{13} - E_{31}, E_{23} - E_{32}\}$  is a spanning set of  $V$ .

This set is also linearly independent. We conclude that

$\{E_{12} - E_{21}, E_{13} - E_{31}, E_{23} - E_{32}\}$  is a basis of  $V$  and that  $\dim V = 3$ .